PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Patent application of

: Atty. Docket No.:

Jeffrey Yass, et al.

: 192154

Serial No.:

Not yet assigned

: Examiner:

Filed:

Herewith

: Not yet assigned

For:

SECURITIES AND TRANSACTION

: Group Art Unit: : Not yet assigned

THEREOF

INFORMATION DISCLOSURE STATEMENT

M.S. Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98, the Applicant attaches hereto a completed PTO Form 1449 (modified).

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10

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I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of person mailing page

Katie Keogh

Type or print name of person

IDENTIFICATION OF TIME OF FILING THIS INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed concurrently with the above-identified patent application. Accordingly, no certification, petition, or fee is required. However, if a fee is due, please charge, or credit any overcharge to, Deposit Account No. 50-0573.

IDENTIFICATION OF REFERENCES

U.S. Patents

Other Documents

Roy, Elizabeth: "Corts, Cabcos and Other Bond-Like Mutants." TheStreet.com, October Í, 1999

The examiner is respectfully requested to review each of the above-identified references and make them of record in the instant application as required by M.P.E.P. §609. It is requested that the Examiner initial the enclosed duplicate substitute Form 1449, and return one copy to Applicant's undersigned representative.

. The references listed in this Information Disclosure Statement comprise the most pertinent prior art known to Applicant and his attorneys as of the date hereof. This Information Disclosure Statement should not be construed as a representation that the cited references are material or that no better art exists.

Respectfully submitted,

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	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) AI Roy, Elizabeth: "Corts, Cabcos and Other Bond-Like Mutants." TheStreet.com, October 1, 1999											
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